

Rogowski Wealth Management

Privacy Policy

At Rogowski Wealth Management (RWM), we prioritize the privacy and security of our clients' information. This Privacy Policy outlines how we collect, use, and protect your personal information.

Information Collection

RWM may collect personal information through various means, including:

- **Email Communications:** We keep records of email communications sent to RWM for the purpose of providing feedback, answering questions, or fulfilling other requests.
- **Application and Interaction Data:** We collect nonpublic personal information provided by you on account applications, contracts, or other forms, as well as through your interactions with RWM.

Information Sharing

- **Legal and Regulatory Compliance:** We may disclose your non-public personal information to comply with legal or regulatory requirements, prevent fraud, or protect against harm to RWM or its employees.
- **Service Providers:** Certain services from RWM are provided through third-party service providers, such as custodian banks for mutual funds. We may share your information with these providers as necessary to offer these services.
- **Aggregated Data:** We may share aggregated usage data with support vendors and third-party suppliers that cannot individually track users, or when we have your explicit consent to share information with a third party.
- **Financial Intermediaries:** If your mutual fund shares are held through a financial

intermediary, such as a broker-dealer, bank, or trust company, their privacy policy will govern the sharing of your non-public personal information with non-affiliated third parties.

Data Security

- **Safeguards:** We maintain physical, electronic, and procedural safeguards to protect your personal information. Third-party service providers are contractually obligated to maintain confidentiality and are restricted in their use of client information.
- **Identity Theft Prevention:** RWM takes steps to protect you from identity theft such as using client identification and authentication procedures before initiating transactions.

Your Privacy Rights

- **No Sale of Personal Information:** We do not sell your personal information to anyone, for any reason, at any time.
- **Policy Changes:** Our Privacy Policy may change over time. We will not reduce your rights under this policy without your consent. Significant changes will be notified through our website.

Contact Us

If you have any questions or concerns about this Privacy Policy, please contact us at: bryan@rogowskiwealth.com